..... (Original Signature of Member)

119TH CONGRESS 1ST SESSION



To provide for reform of the Department of State with respect to security assistance.

IN THE HOUSE OF REPRESENTATIVES

Ms. JACOBS introduced the following bill; which was referred to the Committee on _____

A BILL

To provide for reform of the Department of State with respect to security assistance.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

3 SECTION 1. SHORT TITLE.

4 This Act may be cited as the "United States Security

5 Assistance Effectiveness Act".

6 SECTION 2. ORGANIZATIONAL REFORM.

- 7 (a) UNDER SECRETARY FOR ARMS CONTROL AND
- 8 INTERNATIONAL SECURITY.—The duties of the Under

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Secretary for Arms Control and International Security re-1 2 lating to security assistance shall include— 3 (1) within the Department and across United 4 States diplomatic posts— 5 (A) providing strategic policy guidance on 6 objectives, metrics, and priorities for security 7 assistance; and 8 (B) ensuring strategic integration of budg-9 ets and planning for security assistance; and 10 (2) overseeing Department coordination with 11 the Secretary of Defense and the heads of other rel-12 evant Federal departments and agencies on all mat-13 ters relating to security assistance, including by 14 leading or delegating Secretary concurrence for all 15 security assistance and security cooperation authori-16 ties under title 10, United States Code. 17 (b) OFFICE OF SECURITY ASSISTANCE.— 18 (1) IN GENERAL.—The Secretary shall des-19 ignate an existing office or establish a new office to 20 be the Office of Security Assistance, which— 21 (A) shall be located in the Office of the 22 Under Secretary for Arms Control and Inter-23 national Security; and

3

(B) shall report to Office of the Under

2 Secretary for Arms Control and International 3 Security. 4 (2) COORDINATOR.—The head of the Office 5 shall be the Coordinator for Security Assistance, 6 who shall be an individual who is a member of the 7 Senior Executive Service and of demonstrated com-8 petency in the fields of security assistance and inter-9 national diplomacy. (3) DUTIES.—The duties of the Coordinator 10 11 shall include within the Department and across 12 United States diplomatic posts— 13 (A) guiding and supporting security assist-14 ance; 15 (B) maintaining the common database de-16 scribed in section 5; 17 (C) coordinating the assessment, moni-18 toring, and evaluation program established 19 under section 6; and 20 (D) establishing the framework described 21 in section 7. 22 (c) COORDINATION WITHIN THE DEPARTMENT.— 23 (1) DESIGNATION.—Not later than 1 year after 24 the date of the enactment of this Act, and subject 25 to paragraph (2), the head of each bureau of the

1 Department that is involved in directing or imple-2 menting security assistance shall designate an officer 3 of such bureau to be responsible for coordinating the 4 responsibilities of such bureau with respect to secu-5 rity assistance.

6 (2) NON-ELIGIBILITY.—An officer of a bureau 7 of the Department shall not be eligible to be des-8 ignated pursuant to paragraph (1) if the officer is 9 responsible for conducting human rights vetting pur-10 suant to 620M of the Foreign Assistance Act of 11 1961 (22 U.S.C. 2378d).

12 (3) TRAINING.—Each individual designated
13 pursuant to paragraph (1) shall successfully com14 plete the training described in section 3.

15 (d) COORDINATION WITHIN UNITED STATES DIPLO-16 MATIC POSTS.—

17 (1) DESIGNATION.—Not later than 1 year after 18 the date of the enactment of this Act, the chief of 19 mission of the United States in a foreign country 20 that receives security assistance shall designate a 21 senior diplomatic officer at the embassy or highest 22 ranking diplomatic post if no embassy exists in the 23 foreign country to be responsible for coordinating se-24 curity assistance for the foreign country.

(2) DUTIES.—The senior diplomatic officer des ignated pursuant to paragraph (1) shall be respon sible for—

4 (A) overseeing personnel and activities of 5 Federal departments and agencies at the rel-6 evant embassy or diplomatic post with respect 7 to the provision of security assistance for the 8 country; and

9 (B) ensuring implementation of section 10 620M of the Foreign Assistance Act of 1961 11 (22 U.S.C. 2378d), section 362 of title 10, 12 United States Code, and other relevant authori-13 ties relating to the end-use of United States-14 transferred defense articles with respect to the 15 country.

16 (3) TRAINING.—Each individual designated
17 pursuant to paragraph (1) shall successfully com18 plete the training described in section 3.

19 (e) Plan for Coordination.—

(1) IN GENERAL.—Not later than 180 days
after the date of the enactment of this Act, the Secretary shall submit to the appropriate congressional
committees a plan for the implementation of this
section, including on the coordination within and

1	outside of the Department relating to security assist-
2	ance programs.
3	(2) MATTERS TO BE INCLUDED.—The plan re-
4	quired under paragraph (1) shall include the fol-
5	lowing:
6	(A) A plan for how the Department will ef-
7	fectively coordinate internally, with diplomatic
8	posts, and with the Department of Defense with
9	respect to security assistance programs.
10	(B) A description of the process by which
11	the requirement for training described in sec-
12	tion 3 will be fulfilled.
13	(C) The benefits, feasibility, and steps nec-
14	essary to detail personnel—
15	(i) on a reimbursable basis from the
16	relevant bureaus and offices of the Depart-
17	ment to provide staff to the Office; and
18	(ii) from the Department of Defense
19	and other relevant Federal departments
20	and agencies to provide staff to the Office.
21	(D) A list of recommendations for any ad-
22	ditional legislative measures necessary to im-
23	prove the capacity and capabilities of the De-
24	partment to plan and implement security assist-
25	ance programs and activities.

(3) FORM.—The plan required under paragraph
 (1) shall be submitted in unclassified form, but may
 include a classified annex if necessary.

4 (4) APPROPRIATE CONGRESSIONAL COMMIT5 TEES DEFINED.—In this subsection, the term "ap6 propriate congressional committees" means the
7 Committee on Foreign Affairs of the House of Rep8 resentatives and the Committee on Foreign Rela9 tions of the Senate.

10 SEC. 3. WORKFORCE DEVELOPMENT.

(a) IN GENERAL.—Not later than 180 days after the
date of the enactment of this Act, the Secretary shall establish curriculum at the Department's Foreign Service
Institute to provide employees of the Department with
specialized training with respect to security assistance.

16 (b) MATTERS TO BE INCLUDED.—The training re-17 quired by subsection (a) should be aligned with the Security Cooperation Workforce Development Program and de-18 veloped in coordination with the Defense Security Co-19 operation Agency, including through an agreement under 20 21 section 1535(a) of title 31, United States Code (commonly 22 referred to as the "Economy Act"), or any other appro-23 priate agency-specific authority. The training shall include the following: 24

1	(1) Awareness of the full range of agencies, of-
2	fices, personnel, statutory authorities, funds, and
3	programs involved in security assistance and trans-
4	fers and the respective decision-making timelines.
5	(2) Familiarity with relevant military and police
6	security force systems and structures and institu-
7	tions at the time such training is occurring.
8	(3) Familiarity with security assistance reform,
9	research regarding options for improvement, and
10	United States interagency and external resources
11	and experts.
12	(4) Familiarity with planning, implementation,
13	and monitoring and evaluation for programmatic ac-
14	tivities.
15	(5) Familiarity with the requirements to coordi-
16	nate and consult with the Department of Defense on
17	certain security cooperation programs, to include
18	any programs that require Secretary concurrence or
19	joint formulation.
20	(6) Familiarity with implementation of—
21	(A) section 620M of the Foreign Assist-
22	ance Act of 1961 (22 U.S.C. 2378d) and sec-
23	tion 362 of title 10, United States Code;

1	(B) arms transfer requirements under the
2	Arms Export Control Act (22 U.S.C. 2751 et
3	seq.);
4	(C) end-use monitoring and other relevant
5	authorities pertaining to the end-use of United
6	States-transferred defense articles; and
7	(D) best practices related to human rights
8	and civilian protection.
9	(7) Awareness of common risks to effectiveness
10	of security assistance, including corruption, political
11	instability, and challenges relating to absorptive ca-
12	pacity, partner commitment, and transparency.
13	SEC. 4. INTERAGENCY COORDINATION OF SECURITY AS-
13 14	SEC. 4. INTERAGENCY COORDINATION OF SECURITY AS- SISTANCE, TRANSFERS, AND SECURITY CO-
14	SISTANCE, TRANSFERS, AND SECURITY CO-
14 15	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION.
14 15 16 17	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION. (a) Comptroller General Report.—Not later
14 15 16 17	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION. (a) COMPTROLLER GENERAL REPORT.—Not later than 1 year after the date of the enactment of this Act,
14 15 16 17 18	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION. (a) COMPTROLLER GENERAL REPORT.—Not later than 1 year after the date of the enactment of this Act, the Comptroller General of the United States shall submit
14 15 16 17 18 19	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION. (a) COMPTROLLER GENERAL REPORT.—Not later than 1 year after the date of the enactment of this Act, the Comptroller General of the United States shall submit to the appropriate congressional committees a report that
 14 15 16 17 18 19 20 	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION. (a) COMPTROLLER GENERAL REPORT.—Not later than 1 year after the date of the enactment of this Act, the Comptroller General of the United States shall submit to the appropriate congressional committees a report that assesses the effectiveness of existing mechanisms that re-
 14 15 16 17 18 19 20 21 	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION. (a) COMPTROLLER GENERAL REPORT.—Not later than 1 year after the date of the enactment of this Act, the Comptroller General of the United States shall submit to the appropriate congressional committees a report that assesses the effectiveness of existing mechanisms that re- quire the concurrence and coordination of the Secretary
 14 15 16 17 18 19 20 21 22 	SISTANCE, TRANSFERS, AND SECURITY CO- OPERATION. (a) COMPTROLLER GENERAL REPORT.—Not later than 1 year after the date of the enactment of this Act, the Comptroller General of the United States shall submit to the appropriate congressional committees a report that assesses the effectiveness of existing mechanisms that re- quire the concurrence and coordination of the Secretary of State with respect to security assistance and security

1	and security cooperation programs, projects, and activities
2	of the Department of Defense that includes the following:
3	(1) An identification of relevant security assist-
4	ance and security cooperation programs, authorities,
5	and resources that require Secretary of State coordi-
6	nation or concurrence, and their mandated coordina-
7	tion and concurrence mechanisms and processes be-
8	tween the Secretary and Secretary of Defense, in-
9	cluding the definitions and guidance for such mecha-
10	nisms and processes to include—
11	(A) joint coordination;
12	(B) Chief of Mission and Secretary concur-
13	rence; and
14	(C) joint formulation.
15	(2) An assessment of such processes and mech-
16	anisms in practice.
17	(3) An identification of measures to improve
18	such processes and mechanisms and the coordination
19	between Department bureaus and offices involved in
20	planning, executing, or overseeing security assistance
21	programs and activities and the United States com-
22	batant command or commands relevant to such bu-
23	reaus and offices.
24	(b) Joint Process for Section 333 Projects.—
25	Consistent with recommendations made by the Comp-

troller General of the United States pursuant to the report 1 2 of the Government Accountability Office identified as the 3 23-105842 report, the Secretary, in coordination with the 4 Secretary of Defense, shall establish a process that speci-5 fies how and when the Department should be involved in the planning of projects pursuant to section 333 of title 6 7 10, United States Code, including timelines for the De-8 partment's review of concurrence packages.

9 SEC. 5. ESTABLISHMENT OF A COMMON DATABASE OF SE10 CURITY ASSISTANCE AND SECURITY CO11 OPERATION PROGRAMS.

12 (a) IN GENERAL.—Not later than 2 years after the 13 date of the enactment of this Act, the Secretary, in coordination with the Secretary of Defense, the Director of the 14 15 Defense Security Cooperation Agency, and the heads of other appropriate Federal departments and agencies, shall 16 17 maintain a common database of information of all security 18 assistance and security cooperation programs and activi-19 ties, funding, and transfers by recipient country.

20 (b) Plan.—

(1) IN GENERAL.—Not later than 1 year after
the date of the enactment of this Act, the Secretary,
in coordination with the Secretary of Defense, Director of the Defense Security Cooperation Agency and
the heads of other appropriate Federal departments

1	and agencies, shall submit to the appropriate con-
2	gressional committees a report that contains a plan
3	to meet the requirements of subsection (a).
4	(2) ELEMENTS.—The plan required by this
5	subsection shall include each of the following ele-
6	ments:
7	(A) A plan to ensure a standardized meth-
8	od of capturing country-level data of security
9	assistance and security cooperation programs
10	and activities administered by the Department
11	and the Department of Defense since fiscal year
12	2017, to include—
13	(i) the identification of authorities and
14	costs, by fiscal year, of each such program
15	or activity;
16	(ii) the primary recipients by unit of
17	each such program or activity within the
18	relevant foreign partner government or or-
19	ganization;
20	(iii) the purpose of each such program
21	or activity, to include how each program
22	advances United States regional and coun-
23	try strategies and objectives and advances
24	mutually beneficial security goals, includ-
25	ing addressing shared threats;

1	(iv) narrative descriptions of all ca-
2	pacity building activities and any lethal as-
3	sistance, including the training, equipment,
4	and sustainment plan associated with each
5	such program or activity; and
6	(v) assessments of the recipient capa-
7	bilities, absorptive capacity, and political
8	will to achieve program or activity objec-
9	tives.
10	(B) A description of potential logistical
11	and methodological challenges to implementa-
12	tion, including the necessary resources, staffing,
13	and authorities to address such challenges and
14	complete the requirements of subsection (a).
15	(C) A plan to update, as needed, existing
16	systems that assess, monitor, and evaluate the
17	execution of security assistance and security co-
18	operation programs and activities, on a country-
19	by-country basis, that are carried out by the
20	Department and the Department of Defense.
21	(D) Options to enable visibility for the ap-
22	propriate congressional committees of all coun-
23	try-level data required by subparagraph (A).
24	(E) Options to promote increased trans-
25	parency and visibility of security assistance and

1	security cooperation programs and activities for
2	researchers engaged in research and develop-
3	ment projects on security cooperation pursuant
4	to section $384(g)(3)$ of title 10, United States
5	Code, as well as evaluators contractors pursu-
6	ant to section 383 of such title that promote se-
7	curity cooperation lessons learned.
8	(3) Appropriate congressional commit-
9	TEES DEFINED.—In this subsection, the term "ap-
10	propriate congressional committees" means—
11	(A) the Committee on Foreign Affairs, the
12	Committee on Armed Services, and the Com-
13	mittee on Appropriations of the House of Rep-
14	resentatives; and
15	(B) the Committee on Foreign Relations,
16	the Committee on Armed Services, and the
17	Committee on Appropriations of the Senate.
18	SEC. 6. ASSESSMENT, MONITORING, AND EVALUATION.
19	(a) IN GENERAL.—Not later than 18 months after
20	the date of the enactment of this Act, the Coordinator
21	shall develop and maintain an assessment, monitoring,
22	and evaluation program to be conducted for any country
	and evaluation program to be conducted for any country
23	receiving significant security assistance.
23 24	

1	(1) Baseline assessments that include the fol-
2	lowing factors:
3	(A) The quality of security sector govern-

4	ance of such country, based on the measure-
5	ments of the following, and the manner in
6	which the country's performance on such meas-
7	urements are likely to be influenced by the pro-
8	vision of security assistance:
9	(i) The level of state corruption, as
10	defined under the Combating Global Cor-

10 defined under the Combating Global Cor-11 ruption Act (22 U.S.C. 10501), in the 12 country's security sector.

13 (ii) The level of civilian oversight of14 the security forces.

15 (iii) The level of the security forces'—
16 (I) involvement in politics;
17 (II) commercial holdings; and
18 (III) merit-based promotions.

19(iv) The record of violations of inter-20national human rights law, international21humanitarian law, and civilian harm by se-22curity force actors or their affiliates and23subsequent meaningful investigations and24accountability processes.

1	(v) Other factors relevant to assessing
2	the level of security sector governance.
3	(B) The commitment and political will of
4	the recipient to use such assistance in a manner
5	that achieves mutual objectives.
6	(C) Recipient country threat perceptions
7	and the manner in which such perceptions may
8	inform the use of security assistance.
9	(D) The recipient's capacity to absorb the
10	security assistance given and to achieve the ob-
11	jectives of such assistance.
12	(E) Country- or region-specific opportuni-
13	ties and risks that could enhance or impair the
14	outcomes associated with providing security as-
15	sistance.
16	(2) Identification of the short- and long-term
17	desired outcomes or goals of the bilateral security
18	partnership with the country and whether such as-
19	sistance is achieving those outcomes or goals.
20	(3) Monitoring implementation of security as-
21	sistance programs, projects, and activities to meas-
22	ure progress toward achieving specific targets,
23	metrics, or indicators.

(4) Identification of lessons learned in carrying
 out security assistance and recommendations for im proving future assistance.

4 (c) OVERSIGHT AND FRAMEWORK.—The Coordinator
5 shall guide and support, in coordination with relevant re6 gional and functional bureaus, the assessment and moni7 toring described in subsection (a) and shall create a com8 mon evaluation framework.

9 (d) AVAILABILITY OF FUNDS.—Funds available to 10 the Political-Military Affairs Bureau, and other funds 11 available to the Department of State for security assist-12 ance programs and activities of the Department of State, 13 may be used to carry out the program required by this 14 section.

15 SEC. 7. SECURITY ASSISTANCE FRAMEWORK AND PLAN-16 NING.

17 (a) FRAMEWORK FOR SECURITY ASSISTANCE.—Not later than 18 months after the date of the enactment of 18 this Act, the Coordinator shall create and submit to the 19 Committee on Foreign Affairs of the House of Representa-20 21 tives and the Committee on Foreign Relations of the Sen-22 ate a framework to be used by relevant bureaus and diplo-23 matic posts to guide regional and country-specific plan-24 ning, such as joint regional strategies or integrated coun-

18

try strategies or their equivalents, with respect to security
 assistance based on the following:

3 (1) Identification and prioritization of overall
4 goals and objectives for security assistance, in ac5 cordance with the relevant National Security Strat6 egy.

(2) Criteria for—

8 (A) identifying opportunities and risks cre9 ated by the provision of security assistance; and
10 (B) tailoring and sequencing such assist11 ance accordingly.

12 (3) Guidance for—

(A) incorporating the assessment, monitoring, and evaluation program described in
section 6 into the strategic planning cycle pursuant to GPRA Modernization Act of 2010
(Public Law 111–352), and the amendments
made by that Act, and any other relevant law;
and

20 (B) increasing coordination, as appro21 priate, with other major international donors to
22 maximize resources and unity of efforts.

23 (4) Metrics for assessing the effectiveness of se24 curity assistance in—

1 (A) increasing the operational access and 2 influence of the United States when there is a 3 demonstrated, strategic need for such access and influence; 4 (B) improving partner capacity and com-5 6 mitment to countering shared threats and in-7 creased burden sharing, including in ways that 8 enable reallocation of United States military de-9 ployments to other high priority missions; and 10 (C) contributing to the maintenance of ex-11 isting peace treaties between recipients of as-12 sistance. 13 (5) A process to ensure that transfers regulated 14 by the Department that are outside the scope of se-15 curity assistance, such as certain direct commercial 16 sales, are factored into— 17 (A) the implementation of the assessment, 18 monitoring, and evaluation program described 19 in subsection (a); and 20 (B) the planning process described in sub-21 section (c). 22 (b) SECURITY ASSISTANCE PLANNING.— 23 (1) COUNTRY PRIORITIZATION.—Not later than 24 2 years after the date of the enactment of this Act,

and annually thereafter, the Coordinator shall de-

1	velop and submit in accordance with subsection (c)
2	a list, including justifications, of priority recipient
3	countries to receive security assistance, on the basis
4	of—
5	(A) policy objectives determined by the
6	Secretary; and
7	(B) the political conditions present in such
8	recipient countries to achieve such policy objec-
9	tives.
10	(2) Inclusion in regional and country
11	STRATEGIES.—Any comprehensive regional strategy,
12	such as a joint regional strategy or its equivalent,
13	and any country strategy, such as an integrated
14	country strategy or its equivalent, that is produced
15	by the Department of State on or after the date that
16	is 2 years after the date of the enactment of this
17	Act, and each successor strategy to such strategy,
18	shall integrate security assistance planning in a
19	manner that incorporates the elements of the frame-
20	work created pursuant to subsection (a) and include
21	an annex relating to security assistance, which shall
22	include—
23	(A) the assessment, monitoring, and eval-
24	uation baseline assessments described in section
25	6;

1	(B) requests to allocate security assistance
2	with respect to the area covered by the strategy;
3	and
4	(C) a description of the manner in which
5	such resources will be used.
6	(3) COORDINATION OF RESOURCES.—In devel-
7	oping annexes relating to security assistance for in-
8	clusion in comprehensive regional strategies or coun-
9	try strategies in accordance with paragraph (2), the
10	relevant bureau, office, or diplomatic post shall co-
11	ordinate with—
12	(A) the Office;
13	(B) the Office of Foreign Assistance Re-
14	sources, or an equivalent entity in the Depart-
15	ment, regarding the allocation of resources in
16	line with priorities of the Department of State
17	for security assistance; and
18	(C) the Department of Defense and other
19	relevant Federal departments and agencies that
20	provide security assistance, security coopera-
21	tion, or other forms of foreign assistance.
22	(c) Reporting Requirements.—Beginning 3 years
23	after the date of the enactment of this Act and annually
24	thereafter, the Secretary shall include with any materials
25	submitted in support of the budget for that fiscal year that

is submitted to Congress by the President under section
 1105 of title 31, United States Code, an unclassified re port, that may include a classified annex, with the fol lowing:

5 (1) A list of priority security assistance recipi6 ents, along with descriptions of the policy objectives
7 that the Secretary seeks to achieve by providing
8 such assistance to such recipients, developed pursu9 ant to subsection (b)(1).

10 (2) A description of the results of the evalua11 tions conducted pursuant to section 6(c).

12 (3) A description of the manner in which the
13 Department will allocate, monitor, and evaluate all
14 security assistance pursuant to the program de15 scribed in section 7 and the planning process de16 scribed in subsection (b).

17 SEC. 8. DEFINITIONS.

18 In this Act—

- 19 (1) except as otherwise provided, the term "ap20 propriate congressional committees" means—
- 21 (A) the Committee on Foreign Affairs and
 22 the Committee on Armed Services of the House
 23 of Representatives; and

1	(B) the Committee on Foreign Relations
2	and the Committee on Armed Services of the
3	Senate;
4	(2) the term "Coordinator" means the Coordi-
5	nator for Security Assistance established under sec-
6	tion $2(b)(2);$
7	(3) the term "Department" means the Depart-
8	ment of State;
9	(4) except as otherwise provided, the term "Of-
10	fice" means the Office of Security Assistance des-
11	ignated under section 2(b);
12	(5) except as otherwise provided, the term
13	"Secretary" means the Secretary of State; and
14	(6) the term "security assistance" means assist-
15	ance provided under the Foreign Assistance Act of
16	1961 (22 U.S.C. 2151 et seq.), the Arms Export
17	Control Act (22 U.S.C. 2751 et seq.) (other than
18	Foreign Military Sales or direct commercial sales),
19	or any other provision of law.